

DAVIDSON & GRANNUM, LLP

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Attorneys for Defendant,
A. G. Edwards & Sons, Inc.
Wachovia Securities, LLC., and
Wachovia Corporation

**IN THE UNITED STATES DISTRICT COURT FOR
THE SOUTHERN DISTRICT OF NEW YORK**

Melissa D. Forbes,

Plaintiff,

JUDGE: Arthur J. Tarnow

v.

Civil Action No. 1:08-CV-00552-TPG

A.G. Edwards & Sons, Inc.,
Wachovia Securities, LLC,
Wachovia Corporation, and
Douglas L. Pearl,

Defendants.

**FRCP RULE 7.1 STATEMENT FOR
A.G. EDWARDS & SONS, INC., WACHOVIA
SECURITIES, LLC, AND WACHOVIA
CORPORATION .**

Pursuant to Rule 7.1 of the Federal Rules of Civil Procedure, the undersigned attorneys of record for defendants A.G. Edwards & Sons, Inc., Wachovia Securities, LLC, and Wachovia Corporation certify that:

- 1) Wachovia Corporation is a publically held corporation;
- 2) A.G. Edwards & Sons, Inc. recently converted to a Delaware limited liability company named A.G. Edwards & Sons, LLC, which is the successor to A.G. Edwards & Sons, Inc. and a wholly owned subsidiary of Wachovia Securities Financial Holdings, LLC;
- 3) Wachovia Securities, LLC is a wholly owned subsidiary of Wachovia Securities Financial Holdings, LLC; and,

4) Wachovia Securities Financial Holdings, LLC is a joint venture owned indirectly by two public companies, Wachovia Corporation and Prudential Financial, Inc., each of which has an ownership interest of more than 10% in Wachovia Securities Financial Holdings, LLC.

Respectfully submitted,

Dated: March 6, 2008

A handwritten signature in black ink, appearing to read 'S. Grannum', is written over a horizontal line.

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